

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 5692-2

First Named Inventor DONG

COMPLETE IF KNOWN

Application Number /

Filing Date HEREWITH

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SOLID CAGE FUEL CELL STACK

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Paper No.:_____

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Inventors: DONG and SHEN
Title: **SOLID CAGE FUEL CELL STACK**
Filed: Herewith
Date: June 8, 2001

To: The Commissioner of Patents & Trademarks
Washington, D.C.
20231

Dear Sir:

ASSOCIATE POWER OF ATTORNEY

Please recognize the following attorney as my associate attorney in respect of this application:

NAME	Reg. No.
Robert H. Barrigar	26125

Please address all telephone calls to Charles A. Laff at telephone no. (312) 661-2100.

Please address all correspondence to:

Laff, Whitesel & Saret, Ltd / Michael Best & Friedrich
401 North Michigan Avenue
Chicago, Illinois 60611

Respectfully submitted,

By: _____

Charles A. Laff

Registration No.: 19787

Chicago, Illinois
U.S.A.

G:\p\pf003 VAN2102 US\filling docs 010523\ASSOCIATE POWER OF ATTORNEY.wpd

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label 28340 OR ☒ Correspondence address below

Name **Charles A. Laff**
Laff, Whitesel & Saret, Ltd. / Michael Best & Friedrich

Address **401 North Michigan Avenue**

Address **Suite 1700**

City **Chicago** State **Illinois** ZIP **60611**

Country **USA** Telephone **312.661.2100** Fax **312.661.0029**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name **ZUOMIN** Family Name **DONG**
(first and middle [if any]) or Surname

Inventor's Signature  Date **MAR 29, 2001**

Residence: City **VICTORIA** State **BC** Country **CANADA** Citizenship **CANADIAN**

Mailing Address **961 SHADYWOOD DRIVE**

Mailing Address

City **VICTORIA** State **BC** ZIP **V8X 4H9** Country **CANADA**

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name **JOHN J. Y.** Family Name **SHEN**
(first and middle [if any]) or Surname

Inventor's Signature  Date **May 29, 2001**

Residence: City **SURREY** State **BC** Country **CANADA** Citizenship **CANADIAN**

Mailing Address **803 - 14188 103 A AVENUE**

Mailing Address

City **SURREY** State **BC** ZIP **V3T 5S6** Country **CANADA**

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

herewith

DONG

5692-2

I hereby appoint:

☒ Practitioners at Customer Number

28340

OR

☐ Practitioner(s) named below:

Name	Registration Number

Place Customer
Number Bar Code
Label here

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

JIM McBETH, CEO, PALCAN FUEL CELL CO. LTD.

Signature

Date

J McBeth
June 1, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.